



7/A Rule 115
2/12/03

ATTORNEY DOCKET NO. 3287

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN THE APPLICATION OF:

THOMAS A. MAZZA ET AL.

SERIAL NO.: 09/733,141

FILED: 12/08/2000

FOR: TRADING SYSTEM CONTROLLER

Group Art Unit: 2676

Examiner: H. Nguyen

RULE 115 AMENDMENT

The Honorable Commissioner of
Patents and Trademarks
Washington, D.C. 20231

RECEIVED

FEB 12 2003

Technology Center 2600

I certify that this document and enclosed fee is being deposited on February 27, 2003 with the United States Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington DC 20231

MP Mazza

Signature of Person Mailing Correspondence
Michael P. Mazza

Sir:

Please enter the following amendment in response to the non-final Office Action mailed October 23, 2002. A new Power of Attorney is attached.

IN THE CLAIMS:

Please revise Claims 1 and 7, as follows:

1. (Amended) A control unit in electrical communication with one or more computers for use in performing electronic trading operations, the one or more